### **Propp's Functions**

#### **Initial Situation**

### element 0: introduction & setup = initial situation $-\alpha$ (alpha)

• 

introduction of protagonist(s) and setup for story (context)

preparatory section

## function 1: member(s) of family absents themselves from home = absentation $-\beta$ (beta)

- β1 absentation (departure) of elder(s)
- β2 death of parent(s)
- β3 absentation (departure) of sibling(s)

## function 2: an interdiction is addressed to protagonist(s) = interdiction - (gamma)

- Y1 interdiction issued
- Y2 inverted form of interdiction issued as order or suggestion

## function 3: interdiction is violated = violation - <sup>5</sup> (delta)

- $^{\tilde{0}}1$  interdiction violated
- Ō2 order or suggestion executed

## function 4: antagonist(s) makes attempt at reconnaissance = reconnaissance = \$\(^{\epsilon}\)

- \$1 reconnaissance by antagonist(s) to obtain information about victim(s) / protagonist(s)
- \$2 inverted form of reconnaissance by victim(s) / protagonist(s) to obtain information about antagonist(s)
- £3 reconnaissance by other person(s)

# function 5: antagonist(s) receives information about victim(s) / protagonist(s) = delivery $-\zeta$ (zeta)

- \$1 antagonist(s) receives information about victim(s) / protagonist(s)
- \( \sigma 2 \) inverted form of delivery as victim(s) / protagonist(s) receives information about antagonist(s)
- $\zeta_3$  information received by other means

## function 6: antagonist(s) attempts to deceive victim(s) / protagonist(s) in order to take possession of them or their belongings = trickery - $^{\sqcap}$ (eta)

- № 1 deceitful persuasions by antagonist(s)
- η2 direct application of magical agents by antagonist(s)
- \( \backsquare{1} \)3 use of other forms of deception or coercion

## function 7: victim(s) / protagonist(s) accept deception and unwittingly help antagonist(s) = complicity $-\theta$ / $\wedge$ (theta/lamda)

- θ1 victim(s) / protagonist(s) reacts to persuasions of antagonist(s)
- θ2 victim(s) / protagonist(s) mechanically falls victim to influence of magical agent
- $\theta_3$  victim(s) / protagonist(s) gives in or reacts mechanically to deceit of antagonist(s)
- • 
   \( \lambda \) preliminary misfortune caused by deceitful agreement

### complications

#### function 8 (and/or 8a) is always present in tale

## function 8: antagonist(s) causes harm or injury to victim(s)/member of protagonist's family = villainy – A

- A1 kidnapping of person
- A2 seizure of magical agent or helper
- Aii forcible seizure of magical helper
- A3 pillaging or ruining of crops
- A4 theft of daylight
- **A**5 plundering in other forms
- **A**6 bodily injury, maiming, mutilation
- A7 causes sudden disappearance
- Avii bride is forgotten
- A8 demand for delivery or enticement, abduction

- **A**9 expulsion
- A10 casting into body of water
- A11 casting of a spell, transformation
- A12 false substitution
- A13 issues order to kill [requires proof]
- A14 commits murder
- A15 imprisonment, detention
- A16 threat of forced matrimony
- Axvi threat of forced matrimony between relatives
- A17 threat of cannibalism
- Axvii threat of cannibalism among relatives
- A18 tormenting at night (visitaion, vampirism)
- A19 declaration of war

#### function 8a (and/or 8) is always present in tale

#### function 8a: one member of family lacks/desires something = lack - a

- a1 lack of bride, friend, or an individual
- a2 lack of helper or magical agent
- **a**3 lack of wondrous object(s)
- a4 lack of egg of death or love
- a5 lack of money or means of existence
- **a**6 lacks in other forms

## function 9: misfortune/lack made known, protagonist(s) approached with request/command, they are allowed to go/dispatched = mediation, the connective incident – B

- **B**1 call for help received, protagonist(s) as seeker(s) dispatched
- **B**2 protagonist(s) as seeker(s) dispatched directly
- **B**3 protagonist(s) as seeker(s) released, allowed to depart
- **B**4 annoucement of misfortune in other forms, protagonist(s) as seeker(s) departs
- **B**5 transportation of banished protagonist(s) as victim(s)
- **B**6 condemned protagonist(s) as victim(s) released, spared
- **B**7 lamment or plaintive song by/about victim(s), heard/sung by protagonist(s)

#### function 10: protagonist(s) agrees to or decides on counteraction = beginning counteraction - C

• **C** — protagonist(s) as seeker consents to counteraction

## function 11: protagonist(s) leaves home = departure − T

#### donors

## function 12: protagonist(s) tested, interogates, attacked, etc. which prepares way for receiving magical agent or helper = first function of donor – D

- **D**1 donor tests protagonist(s)
- **D**2 donor greets and interrogates protagonist(s)
- **D**3 request of favor after death
- **D**4 entreaty of prisoner for freedom
- \*D4 entreaty of prisoner for freedom, with preliminary imprisonment
- **D**5 request for mercy
- **D**6 request for division
- **d**6 argument without express request for division
- **D**7 other requests
- \*D7 other requests, with preliminary helpless situation of person making request
- d7 helpless situation of donor without stated request, possibility of rendering service
- **D**8 attempt to destroy
- **D**9 combat with hostile donor
- **D**10 offer of magical agent as an exchange

#### function 13: protagonist(s) reacts to actions of future donor = protagonist's reaction - E

- E1 protagonist(s) withstands ordeal (or not)
- **E**2 protagonist(s) answers greeting (or not)
- E3 protagonist(s) renders service to dead person (or not)
- E4 protagonist(s) frees of captive
- E5 mercy to suppliant
- E6 protagonist completes apportinment and reconciles disputants
- Evi protagonist(s) deceives disputants

- E7 performance of some other service, fulfillment of request, pious deeds
- **E**8 attempt at destruction averted by turnabout
- **E**9 protagonist(s) vanquishes hostile donor (or not)
- E10 deception in an exchange, protagonist(s) employs magical agent on donor

### function 14: protagonist(s) acquires use of magical agent = acquisition of magical agent - F

- F1 agent is directly transferred
- f1 gift is of a material nature
- F- agent is not transferred
- F= protagonist's negative reaction provokes cruel retribution
- **F**2 agent is pointed out
- F3 agent is prepared
- F4 agent is sold and purchased
- F43 agent is made on order
- **F**5 agent is found by chance
- F6 agent suddenly appears of its own accord
- Fvi agent appears from out of earth
- F7 agent is drunk or eaten
- F8 agent is seized
- F9 agent offers its services, places itself at someone's disposal
- f9 agent indicates it will appear of its own accord in some time of need
- F96 meeting with magical helper(s) who offers their services

#### from entry of helper to end of first move

## function 15: protagonist(s) transferred, delivered or led to vicinity of object of search = transference, guidance – G

- **G**1 protagonist(s) flies thru air
- G2 protagonist(s) travels on ground or water
- **G**3 protagonist(s) is led
- **G**4 route is shown to protagonist(s)
- **G**5 protagonist(s) makes use of stationary means of communication (stairs, bridge, passageway. etc.)
- G6 marked trail shows the way (blood, tracks, yarn, etc.)

#### function 16: protagonist(s) and antagonist(s) join in direct combat = struggle - H

- **H**1 fight in an open field
- **H**2 contest, competition
- **H**3 game of cards
- H4 weighing with scales

### function 17: protagonist(s) branded = branding - J

- **J**1 application of mark to body of protagonist(s)
- **J**2 transference of token (ring, towel, etc.)

#### function 18: antagonist(s) defeated = victory - I

- I1 antagonist(s) defeated in open battle
- \*I1 antagonist(s) defeated by one protagonist(s) while the other(s) hide
- I2 antagonist(s) defeated in contest
- I3 antagonist(s) defeated at cards
- I4 antagonist(s) defeated in weighing with scales
- I5 protagonist(s) kills antagonist(s) without preliminary fight
- **I**6 expulsion of antagonist(s)

#### function 19: initial misfortune or lack is liquidated = liquidation - K

- K1 direct acquistion thru application of force or cunning
- Ki direct acquistion thru application of force or cunning, with one person compelling another
- K2 acquisition accomplished by several helpers at once
- K3 acquisition achieved with help of an enticement or decoy(s)
- **K**4 liquidation of misfortune as direct result of previous actions
- K5 object of search attained instantly thru use of magical agent
- K6 poverty done away with thru use of magical agent
- K7 object of search captured
- K8 breaking of spell on victim
- **K**9 resuscitation of slain
- Kix resuscitation, with preliminary obtaining of water of life

- K10 release from captivity
- KF1 liquidation in form F: object of search is transferred
- KF2 liquidation in form F: object of search is pointed out
- **KF**3 liquidation in form F: object of search is prepared
- KF4 liquidation in form F: object of search is sold, purchased
- KF43 liquidation in form F: object of search is made on order
- KF5 liquidation in form F: object of search is found
- **KF**6 liquidation in form F: object of search appears of its own accord
- **KF**vi liquidation in form F: object of search appears from out of earth
- KF7 liquidation in form F: object of search is drunk or eaten
- KF8 liquidation in form F: object of search is seized
- KF9 liquidation in form F: object of search offers its services, places itself at someone's disposal
- **KF**96 liquidation in form F: object of search are helpers who offers their services

## function 20: protagonist(s) returns = return - ↓

#### function 21: protagonist(s) pursued = pursuit - Pr

- Pr1 antagonist(s) flies thru air
- **Pr**2 antagonist(s) demands guilty person
- Pr3 antagonist(s) pursues, accompanied by series of transformations into animals
- Pr4 antagonist(s) pursues, with tranformations into enticing objects
- **Pr**5 antagonist(s) attempts to devour protagonist(s)
- **Pr**6 antagonist(s) attempts to destroy protagonist(s)
- **Pr**7 antagonist(s) attempts to gnaw thru tree with protagonist(s) up in it

#### function 22: rescue of protagonist(s) from pursuit = rescue - Rs

- Rs1 protagonist(s) carried thru air or runs quickly
- **Rs**2 protagonist(s) places obstacles in path of pursuers [with transformation]
- **Rs**3 fleeing, with transformation to escape recognition
- Rs4 fleeing with concealment of escapee
- Rs5 concealment of escapee by blacksmiths
- Rs6 escapee goes thru series of transformations into animals, plants & stones
- **Rs**7 warding of temptation of enticing object(s)
- Rs8 rescue or salvation from being devoured
- Rs9 rescue or salvation from being destroyed
- Rs10 leap into another tree

#### beginning of second move -

(repeat from new villainy to unrecognized arrival)

## function 23: protagonist, unrecognized, arrives home or another country = unrecognized arrival

• o — unrecognized arrival

#### function 24: false protagonist(s) presents unfounded claims = unfounded claims - L

• L — claims of false protagonist(s)

### function 25: difficult task proposed to protagonist(s) = difficult task - M

- **M**1 ordeal by food and drink
- M2 ordeal by fire
- M3 riddle guessing
- M4 ordeal of choice
- M5 hide and seek
- **M**6 test of strength
- M7 test of adroitness
- M8 test of fortitude
- **M**9 test of endurance
- **M**10 tasks of supply
- Mx tasks of manufacture
- M11 sorting tasks
- M12 other tasks

#### function 26: task resolved = solution - N

- N1 food and drink consumed
- N2 fire survived

- N3 riddle guessed
- N4 correct choice selected
- **N**5 protagonist(s) not found
- N6 test of strength passed
- N7 test of adroitness passed
- N8 test of fortitude passed
- N9 test of endurance passed
- **N**10 object(s) supplied
- Nx object(s) manufactured
- N11 sorting tasks completed
- N12 other tasks completed
- \*N solution before deadline

#### function 27: protagonist(s) recognized = recognition - Q

- Q1 recognition of protagonist(s) by mark on body
- **Q**2 recognition of protagonist(s) by token
- Q3 recognition of protagonist(s) by accomplishment of difficult task
- **Q**4 recognition of protagonist(s) by family member

#### function 28: false protagonist(s) or antagonist(s) exposed = exposure - Ex

- Ex1 exposure of false protagonist(s) or antagonist(s) by lack of mark on body
- Ex2 exposure of false protagonist(s) or antagonist(s) by lack of token
- Ex3 exposure of false protagonist(s) or antagonist(s) by failure to accomplish difficult task
- Ex4 exposure of false protagonist(s) or antagonist(s) thru song / lament

#### function 29: protagonist(s) given new appearance = transfiguration - T

- T1 new physical appearance by magical action of helper
- T2 protagonist(s) builds palace
- T3 protagonist(s) puts on new garments
- T4 humorous and rationalized forms, new appearance achieved by deception

### function 30: false protagonist(s) or antagonist(s) punished = punishment - U

- **U** punishment of false protagonist(s) or antagonist(s)
- **U** — false protagonist(s) or antagonist(s) pardoned

#### function 31: protagonist(s) marries and ascends throne = wedding - W

- **W**#\* protagonist(s) weds and ascends throne
- **W**# protagonist(s) weds
- W\* protagonist(s) ascends throne
- w1 protagonist(s) promised marriage
- w2 protagonist(s) resumes marriage
- wo protagonist(s) given monetary reward or other forms of material gain

Function definitions expanded on those from: "Morphology of the Folktale by Vladimir Propp" (University of Texas Press, Austin, Texas, 1968), pp.149-155

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